

DOCKET NO: 219902US0X PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF
RIEDRICH SOSNA, ET AL.

SERIAL NO: 10/070,817

FILED: MARCH 11, 2002

FOR: MICROBICIDAL ADDITIVES

:

: EXAMINER: NUTTER, NATHAN

: ALLOWED: NOVEMBER 20, 2003

: GROUP ART UNIT: 1711

:

COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Responsive to the Notice of Allowance dated November 20, 2003, Applicants respectfully request that the Information Disclosure Statements (PTO-1449) filed in this application on March 11, 2002, and May 6, 2002, be considered. Applicants respectfully request that the Examiner acknowledge consideration of the same by providing Applicants with an initialed copies of the Form PTO-1449. Applicants contacted the Examiner, but the Examiner indicated that the file was unavailable. A copy of the Information Disclosure Statement as filed, including references and the date-stamped filing receipt evidencing the timely filing thereof is submitted herewith for the Examiner's convenience.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon
Attorney of Record
Registration No. 24,618

Rohitha Jayasuriya
Registration No. 50,385

Customer Number

22850

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(OSMMN 08/03)



COPY

OSMM&N File No. 219902US0XPCT By NFO/rkm Due Date 03-09-02
FF

Serial No. New U.S. PCT Application based on PCT/EP00/06501

In the matter of the Application of Friedrich SOSNA et al.

For ANTIMICROBIAL ADDITIVES

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☒ 44pgs. Specification 21 Claims (English Translation) pgs. Sequence Listing
- ☒ Combined Declaration, Petition & Power of Attorney (4 pages)
- ☒ Application Data Sheet (2 pages)
- ☒ Notice of Priority;
- ☒ Check for \$ 930.00; ☒ Dep. Acct. Order Form
- ☒ Assignment 1 page/PTO-1595
- ☐ Drawings sheets
- ☒ PCT Transmittal Letter
- ☒ Preliminary Amendment
- ☐ PCT/IB/304 ☒ PCT/IB/308
- ☐ Letter Regarding Claim to Small Entity Status
- ☐ International Preliminary Examination Report
- ☒ Information Disclosure Statement; ☒ PTO-1449
- ☐ Cited References () ☐ Statement of Relevancy
- ☐ List of Related Cases ☐ Cited Pending Applications(#)
- ☒ International Search Report
- ☒ Substitute Specification
- ☒ Letter Regarding Translation of Annexes
- ☐ Translation of Annexes to International Preliminary Examination Report
Amended Sheets ()
- SERIAL NO.
- DATE RECEIVED
- ☐ CLAIMING SMALL ENTITY STATUS



Docket No. 219902US0X PCT

COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Friedrich SOSNA, et al.

SERIAL NO: New US PCT application based on PCT/EP00/06501

GAU:

FILED: Herewith

EXAMINER:

FOR: MICROBICIDAL ADDITIVES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
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Surinder Sachar

Registration No. 34,423



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Form PTO 1449
(Modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

219902US0X PCT

SERIAL NO.

New US PCT application
based on PCT/EP00/06501

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Friedrich SOSNA, et al.

FILING DATE

Herewith

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	0 862 859	09/09/98	EP		No
	AP	2 757 866	07/03/98	FR		No
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

COPY COPY

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OSMM&N File No. 219902US0XPCT

Dept.: PP

By: NFO/smc

Serial No. 10/070,817

In the matter of the Application of: Friedrich SOSNA, et al.

For: ANTIMICROBIAL ADDITIVES

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ pp. Specification & Claims/Drawings Sheets
- ☐ Combined Declaration, Petition & Power of Attorney pages
- ☐ List of Inventor Names and Addresses
- ☐ Utility Patent Application ☐ CPA
- ☐ Notice of Priority ☐ Priority Doc
- ☐ Check for ☒ Dep. Acct. Order Form
- ☐ Fee Transmittal Form
- ☐ Assignment/PTO-1595 pages:
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings sheets ☐ Formal
- ☐ Letter
- ☐ Amendment
- ☒ Information Disclosure Statement (FILED WITHOUT OFR) ☒ PTO-1449
- ☒ Cited References (4)
- ☒ EUROPEAN Search Report (with English translation of category of cited documents)
- ☐ Statement of Relevancy
- ☐ English Abstracts, Concise Explanation, English Translation, Partial English Translation ()
- ☐ IDS/Related/List of Related Cases ☐ Cited Pending Applications ()
- ☐ Restriction Response ☐ Election Response
- ☐ Rule 132 Declaration
- ☐ Petition for Extension of Time



Due date: 06/11/02

Docket No. 219902US0XPCT/smc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

IN RE APPLICATION OF: Friedrich SOSNA, et al.

SERIAL NO: 10/070,817

GAU:

FILED: March 11, 2002

EXAMINER:

FOR: ANTIMICROBIAL ADDITIVES

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references cited in the attached European Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
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- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☐ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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MAIER & NEUSTADT, P.C.

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COPY

SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 219902US0XPCT		SERIAL NO. 10/070,817	
<div style="position: absolute; left: -100px; top: 50px; transform: rotate(-45deg); font-weight: bold;"> RECEIVED DEC 15 2003 PATENT & TRADEMARK OFFICE </div> LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Friedrich SOSNA, et al.			
				FILING DATE March 11, 2002		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	AA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	197 15 426	10/15/98	GERMANY			X
	AP	0 814 105	12/29/97	EUROPE			X
	AQ	0 096 250	12/21/83	EUROPE			X
	AR	0 949 284	10/13/99	EUROPE			
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						
Examiner					Date Considered		
<small>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							